

Pham, Evelyn

From: Pham, Evelyn on behalf of Kowalski, Jill
Sent: Tuesday, May 02, 2017 5:09 PM
Subject: 2017 HUD CoC Program Review Process - scorecard for renewal projects for CoC Review
Attachments: 2017 Riverside CoC Renewal Applicants Letter of Intent 4.11.17.pdf; 2017 PSH Scorecard FINAL 5-2-17 CoC review.pdf

Greetings CoC members,

At the April 26 CoC meeting, I said we would send out the **2017 HUD CoC Program scorecard** that will be used to evaluate projects intending to renew their applications (in the Letter of Intent that is also attached).

One scorecard will be used for both renewal Permanent Supportive Housing and Rapid Rehousing projects (attached). The evaluation criteria is based on the following information and data:

- Annual Performance Reports
- Annual monitoring reports by DPSS (HUD Recipient and Collaborative Applicant), Office of Inspector General (OIG) or HUD, if applicable
- HMIS data
- Fiscal and budget information

The data and source used to measure each component are listed in the scorecard.

The areas and criteria each renewal project will be evaluated on as documented in the scorecard includes:

Performance Measures (15 points): This includes the baseline measures required by HUD for:

- Housing Stability (80% or higher)
- Employment and Income Growth (20% maintain or increase income)
- Bed Utilization (80%)

Access to Mainstream Benefits and Supportive Services for Participants (page 9-11 in Letter of Intent) (5 points).

Homeless Management Information System (HMIS): measures timeliness, accuracy and completeness of data reported in HMIS **(10 points)**.

Compliance with Program and Financial Management (30 points): Areas of program and fiscal compliance that include whether there were unspent funds in the project, claims were submitted timely and whether the project meets the match requirement and overall cost effectiveness of the project.

HUD and CoC Priorities and Requirements (20 points): Evaluates whether the project is using a Housing First and low barrier approach when housing clients, including:

- Quickly moving clients into permanent housing

- Provide rapid placement with no pre-conditions
- Participates in the County's Coordinated Entry System (CES)
- Project conducts outreach to eligible populations

HEARTH Act Compliance (20 points): Determines whether the project/agency involves homeless and/or formerly homeless individuals in decision-making, has non-discrimination policies and participates in the Continuum of Care.

Housing Quality Standards (HQS) (10 points): Project meets applicable housing standards and has not received a corrective action plan.

We welcome any questions, comments and input any of our CoC members may have about the scorecard.

Thank you,
Jill

Jill Walker Kowalski, MPA

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Mission: Partnering with communities to protect and empower vulnerable people.

Vision: A County where individuals reach their full potential.

Values: Accountability, Collaboration, Respect, Diversity, Integrity and Customer Focus.

County of Riverside Continuum of Care

Letter of Intent (LOI)

Continuum of Care (CoC)

U.S. Department of Housing and Urban

Development (HUD)

Homeless Assistance Programs

2017 Renewal Applicants

The LOI including all required documentation must be received by
April 21, 2017 at 4:00 p.m.

Mail or hand-deliver to
DPSS Homeless Programs Unit
4060 County Circle Drive
Riverside, CA 92503

Letter of Intent to Renew CoC Project

Instructions: Please complete one letter for each renewal application.

Lead Agency Information

CCR #: _____
DUNS #: _____
Agency Name: _____
Agency Address: _____
City: _____ State: _____ Zip: _____
Phone: _____ Fax: _____ Email: _____

Grant/Application Contact Person:

Name: _____
Phone: _____
Email: _____

Agency Director:

Name: _____
Phone: _____
Email: _____

HMIS Contact Person:

Name: _____
Phone: _____
Email: _____

Project Information

Name of Project: _____
Project Address: _____
Grant amount: _____
Grant Term: _____
Expiration Date: _____
Program Type: _____
Primary Population: _____
Annual Renewal Amount for project: _____

Total Number of Units: _____

Previously approved budget amounts by activity:

Activity:	Budget Amount:
Leased Units	
Leased Structures	
Rental Assistance	
Supportive Services	
Operations	
HMIS	
Administration	
Total:	

Name and Signature of Person who will complete the application:

_____ X _____

Name and Signature of Person authorized to sign the HUD application:

_____ X _____

I certify, on behalf of my agency that all information contained in this application is accurate and true, based on our current records for the project. I understand that falsifying information or failing to provide accurate information will have a negative impact on my overall review and may result in removal from the Continuum of Care Application to HUD. I also understand that projects not submitting their Letter of Intent by the deadline may be reallocated.

X

Executive Director/CEO/President

Date

Background Information:

The Continuum of Care (CoC) will consider the need to continue funding for projects expiring in 2018 as required by the U.S. Department of Housing and Urban Development (HUD). However, as noted by HUD, renewal projects must meet minimum project eligibility, capacity, timeliness, and performance standards identified in the NOFA or they will be rejected from consideration for funding.

While considering the need to continue funding for projects expiring in 2018, the CoC Independent Review Panel will review the information that HUD noted in the 2016 NOFA CoC Program Competition (2017 NOFA has not yet been released¹), which is as follows:

1. When considering renewal projects for award, HUD will review financial information; Annual Performance Reports (APRs); and information provided from the local HUD CPD Field Office, including monitoring reports and A-133 audit reports as applicable, and performance standards on prior grants, and will assess projects using the following criteria on a pass/fail basis:
 - a. Whether the project applicant's performance met the plans and goals established in the initial application, as amended;
 - b. Whether the project applicant demonstrated all timeliness standards for grants being renewed, including those standards for the expenditure of grant funds that have been met;
 - c. The project applicant's performance in assisting program participants to achieve and maintain independent living and records of success, except HMIS-dedicated projects that are not required to meet this standard; and,
 - d. Whether there is evidence that a project applicant has been unwilling to accept technical assistance, has a history of inadequate financial accounting practices, has indications of project mismanagement, has a drastic reduction in the population served, has made program changes without prior HUD approval, or has lost a project site.

2. HUD reserves the right to reduce or reject a funding request from the project applicant for the following reasons:
 - a. Outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon;
 - b. Audit/Monitoring finding(s) for which a response is overdue or unsatisfactory;
 - c. History of inadequate financial management accounting practices;
 - d. Evidence of untimely expenditures and unspent funds on prior award;
 - e. History of other major capacity issues that have significantly affected the operation of the project and its performance;

¹ Any additional information noted by HUD in the 2017 NOFA will be included as an addendum to this Letter of Intent to Renew and will be distributed to renewal applicants as soon as possible)

- f. History of not reimbursing subrecipients for eligible costs in a timely manner, or at least quarterly; and
- g. History of serving ineligible program participants, expending funds on ineligible costs, or failing to expend funds within statutorily established timeframes.²

I. Recipient Compliance with Grants and Financial Management:

Per 24 CFR part 578 and the FY 2016 CoC Program Competition NOFA requires that Project Applicants specifically identify four benchmarks for grants and financial management that communities must reach to meet this standard, which are

1. On-time APR submission;
2. Resolved HUD/DPSS monitoring findings, or Office of Inspector General (OIG) Audits, if applicable;
3. Monthly submission of claims; and
4. The full expenditure of awarded funds.

The following questions are designed to inform HUD of the recipient's performance related to these four statutory and regulatory requirements and to provide the recipient with an opportunity to explain their inability to meet the related standards. The responses to these questions will be verified with existing records and reports on file with DPSS.

1. Has the recipient successfully submitted the APR on time for the most recently expired grant term related to this renewal project request?

APRs are due within 90 days after the grant term expires. Select **"Yes"** to indicate that an APR has been submitted for the grant term that has most recently expired (for some grants this will be the FY 2015 renewal, for others the FY 2014). Select **"No"** to indicate that an APR has not been submitted for the grant term that has most recently expired or if this is a first-time renewal for which the original grant term has not yet expired.

- Yes
- No
- This is a first-time renewal for which the original grant term has not yet expired.

If you selected **"No"** above, provide a brief explanation for why the APR was not submitted on time in the box below. For those first-time renewals for which the original grant term has not yet expired, please write, "First-time renewal and grant term has not yet expired" and provide the date by which the APR must be submitted.

² NOFA for FY 2016 CoC Program Competition, pages 26 and 27.

2. Does the recipient have any unresolved HUD/DPSS Monitoring and/or OIG Audit findings concerning any previous grant term related to this renewal project request?

- Yes
- No

Select **“Yes”** if there are any unresolved HUD Monitoring or OIG Audit findings, regardless of the funding year of the project for which they were originally identified. Select **“No”** if there are no unresolved HUD Monitoring or OIG Audit findings.

a. Date HUD/DPSS or OIG issued the oldest unresolved finding(s):

If you selected **“Yes”** above, provide the date that the oldest unresolved finding was issued.

Date the oldest unresolved finding was issued: _____

b. Explain why the finding(s) remains unresolved:

If you selected **“Yes”** above, provide a brief explanation in the box below for why the monitoring or audit finding remains unresolved and the steps that have been taken towards resolution (e.g., responded to the HUD letter, but no final determination received).

3. Has the recipient maintained timely and consistent monthly submission of claims for the most recent grant terms related to this renewal project request?

- Yes
- No

CoC Program recipients are required to submit claims on a monthly basis. Select **“Yes”** to indicate that you have maintained monthly submission of claims for the most recent relevant grant term. For some grants, the standard will be applied to the FY 2015 renewal, for others the FY 2014, and for some multi-year first-time renewals a grant awarded in an earlier fiscal year. Select **“No”** to indicate that the recipient has not maintained consistent monthly claim submission for the most recent relevant grant term, or if this is a first-time renewal for which less than one quarter has passed.

- a. **Explain why the recipient has not maintained timely and consistent monthly claim submission for the most recent grant terms related to this renewal project request.**

If you selected **“No”** above, provide a brief explanation in the box below for why monthly claim submissions have not been maintained. Delays in draws due to a late HUD funding announcement and receipt of renewal grant agreement may be included in such an explanation.

4. Have any funds been recaptured by HUD for any of the three (3) most recently expired grant terms related to this renewal project request?

- Yes
- No
- Project has not yet completed a grant term

Select **“Yes”** to indicate that funds have been recaptured, meaning that not all awarded funds were expended during the three previous completed grant terms. Select **“No”** to indicate that no funds were recaptured or if this is a first-time renewal for which the original grant term has not yet expired.

- a. **If you selected “Yes” above, explain the circumstances that led to HUD recapturing funds from any of the three (3) most recently expired grant terms related to this renewal project request:**

Provide a brief explanation in the box below for why the total awarded funds were not expended and were recaptured. Include the amount returned for each year.

II. Housing First and Low Barriers Approach

Housing First is a model of housing assistance that prioritizes rapid placement and stabilization in permanent housing that does not have service participation requirements or preconditions (such as sobriety or a minimum income threshold). It is an approach to: **1)** quickly and successfully connect individuals and families experiencing homelessness to permanent housing; **2)** without barriers to entry, such as sobriety, treatment or service participation requirements; or **3)** related preconditions that might lead to the program participant’s termination from the project. Supportive services are offered to maximize housing stability and prevent returns to homelessness as opposed to addressing predetermined treatment goals prior to permanent housing entry; however, participation in supportive services is based on the needs and desires of program participants. For more information, review Sections II.A.7. and VII.A.1.g. of the FY 2016 CoC Program Competition NOFA and the Housing First in PSH brief at: www.hudexchange.info/resource/3892/housing-first-in-permanent-supportive-housing-brief/

1. Does the project quickly move participants into permanent housing?

- Yes
 No

Select **“Yes”** to this question if your project will quickly move program participants into permanent housing without additional steps (e.g., required stay in transitional housing before moving to permanent housing). If you are a domestic violence (DV) program you should select **“Yes”** if you will quickly move program participants into permanent housing after immediate safety needs are addressed (e.g., a person who is still in danger from a violent situation and would move into PH once the dangerous situation has been addressed). Select **“No”** if the project does not work to move program participants quickly into permanent housing.

2. Has the project removed the following barriers to accessing housing and services?

- Having too little or little income
- Active or history of substance abuse
- Having a criminal record with exceptions for state-mandated restrictions
- Fleeing domestic violence (e.g., lack of a protective order, period of separation from abuser, or law enforcement involvement)
- None of the above

(Select ALL that apply): Check the box next to each item to confirm that your project has removed (or never had) barriers to program access related to each of the following: 1) Having too little or little income; 2) Active or history of substance abuse; 3) Having a criminal record with exceptions for state-mandated restrictions; and 4) Fleeing domestic violence (e.g., lack of a protective order, period of separation from abuser, or law enforcement involvement). If all of these barriers to access still exist, select “None of the above.”

3. Has the project removed the following as reasons for program termination?

- Failure to participate in supportive services
- Failure to make progress on a service plan
- Loss of income or failure to improve income
- Fleeing domestic violence
- Any other activity not covered in a lease agreement typically found in the project’s geographic area
- None of the above

Check the box next to each item to confirm that your project has removed (or never had) reasons for program participant termination related to each of the following: 1) Failure to participate in supportive services; 2) Failure to make progress on a service plan; 3) Loss of income or failure to improve income; 4) Fleeing domestic violence; and 5) Any other activity not covered in a lease agreement typically found in the project’s geographic area. If all of these reasons for program termination still exist, select “None of the above.”

Additional attachments: Please attach the following supporting documentation that shows that your agency provided to staff training and policies and procedures so that staff fully understand how to implement the Housing First approach: a copy of the agency Policies and Procedures, staff training materials, forms and any other documents.

III. Supportive Services for Participants

Please identify whether the project includes the following activities:

1. Transportation assistance to clients to attend mainstream benefit appointments, employment training, or jobs?

- Yes
 No

Select **“Yes”** if the project provides regular or as needed transportation assistance to mainstream and community resources, including appointments, employment training, educational programs, or jobs. Select **“No”** if transportation is not regularly provided or cannot be provided consistently as requested.

2. Use of a single application form for four or more mainstream programs?

- Yes
 No

Select **“Yes”** if the project uses a single application form that allows program participants to sign up for four or more mainstream programs. Select **“No”** if application forms cover 3 or fewer mainstream programs.

3. Regular follow-ups with participants to ensure mainstream benefits are received and renewed?

- Yes
 No

Select **“Yes”** if the project regularly follows-up with program participants at least annually to ensure that they have applied for, are receiving their mainstream benefits, and renew benefits when required. Select **“No”** if there is no or irregular follow-up concerning mainstream benefits.

4. Do program participants have access to SSI/SSDI technical assistance provided by the applicant, a subrecipient, or partner agency?

- Yes
 No

Select **“Yes”** if program participants have access to SSI/SSDI technical assistance. The assistance can be provided by the applicant, a subrecipient, or a partner agency—through a formal or informal relationship. Select **“No”** if there is no or significantly limited access to SSI/SSDI technical assistance.

5. Has the staff person providing the technical assistance completed SOAR training in the past 24 months?

- Yes
- No

This question will only appear if “Yes” is selected to the previous question. Select “Yes” to indicate that the applicant, subrecipient or partner agency staff person who will be providing the SSI/SSDI technical assistance has completed SOAR training, online or in person, in the past 24 months. If more than one person will provide technical assistance, select “Yes” only if all persons have completed the training. Otherwise, select “No.”

IV. Outreach for Participants

Project Applicants are required to identify where their eligible program participants come from with respect to HUD’s homeless definition and must include percentages of program participants that are served using requested funds. Project Applicants must ensure that all persons served in renewal RRH projects meet the requirements found in 24 CFR part 578 and the FY 2016 CoC Program Competition NOFA.

Enter the percentage of homeless person(s) who will be served by the proposed project for each of the following locations: Indicate the percentage of homeless persons who will be admitted from each of the listed locations. Not all of the following locations are eligible locations for all projects types. For example, persons coming from transitional housing are not eligible for PH-RRH projects. Instead, renewal RRH projects must continue to serve individuals and families coming from the streets or emergency shelters. New RRH projects created through reallocation in the FY 2015 competition could also serve persons fleeing domestic violence Note: It is critical that you refer to 24 CFR part 578, the FY 2016 CoC Program Competition NOFA to confirm the eligible locations for each type of project (please contact your local HUD field office if you are unclear as to which NOFA requirements take precedence). The total percentage must equal 100% in order to submit the project application.

%	Eligible Locations:
	Directly from the street or other locations not meant for human habitation.
	Directly from emergency shelters. (this includes domestic violence emergency shelters)
	Directly from safe havens.
	From transitional housing and previously resided in a place not meant for human habitation or emergency shelters, or safe havens.
	Persons fleeing domestic violence (or attempting to flee).
	Total of above percentages

Individuals coming from an institution where they have resided for 90 days or less AND have entered the institution from the streets, emergency shelter, or safe haven, maintain their homeless status during that time. Include these program participants in the percentages for streets, places not meant for human habitation, emergency shelters, and safe havens accordingly.

Projects that have been approved to change from TH to PH-RRH should list residents who are remaining in the program from the previous grant term according to the place from which they originally entered. They should not be listed as entering from transitional housing simply because they were in the program during the previous grant term.

Refer to 24 CFR part 578, the FY 2016 CoC Program Competition NOFA, and the homeless definition at www.hudexchange.info/resource/1928/hearth-defining-homeless-final-rule/ for further guidance.

V. Participation in Homeless Management Information System (HMIS)

The Homeless Emergency Assistance and Rapid Transition to Housing: Continuum of Care (CoC) Program interim rule places a high emphasis on having a functioning and comprehensive HMIS in the CoC jurisdiction as it is critical to gathering unduplicated, aggregated data on homelessness in the community for both the CoC and Emergency Solutions Grant (ESG) Programs.

- Does this project provide client level data to HMIS at least annually? Yes No

If the project is providing participant data in the HMIS – indicate the total number of participants served by the project, and the total number of clients reported in the HMIS.

Total number of participants served by the project: _____

Total number of clients reported in the HMIS: _____

If the project is not providing participant data in the HMIS – indicate one or more of the four (4) reason(s) for non-participation:

- Federal law prohibits (please cite specific law) _____
- State law prohibits (please cite specific law) _____
- New project not yet in operation
- Other (please specify prohibition) _____

Also, for those participant records that were reported in the HMIS, indicate the percentage of values that were missing (“Null or Missing Values”) and/or unknown (“Don’t Know or Refused”). If there were no unknown values, note a “0” value.

Data Collection Requirements

All CoC Program funded projects are required to collect all of the Universal Data Elements and a select number of Program-Specific Data Elements.

*** Indicate the percentage of unduplicated client records with null or missing values during the last 10 days of January 2017**

Universal Data Element (Use HMIS Data Quality Report)	Records with no values (%)	Records where value is refused or unknown (%)
3.1 Name		
3.2 Social Security Number		
3.3 Date of Birth		
3.4 Race		
3.5 Ethnicity		
3.6 Gender		
3.7 Veteran Status		
3.8 Disabling condition		
3.917 Living Situation		
3.10 Project entry date		
3.11 Project exit date		
3.12 Destination		
3.15 Relationship to Head of Household		
3.16 Client Location		
Program Specific Data Element (From the most recent APR)	Records with no values (%)	Records where value is refused or unknown (%)
4.2 Income and Sources		
4.3 Non-Cash Benefits		
4.4 Health Insurance		
4.5 Physical Disability		
4.6 Developmental Disability		
4.7 Chronic Health Condition		
4.8 HIV/AIDS		
4.9 Mental Health Problem		
4.10 Substance Abuse		
4.11 Domestic Violence		
4.17 Residential Move-In Date (RRH only)		

VI. Participation in Coordinated Entry System

CoC funded projects are required to participate in the local Coordinated Entry System. As defined by HUD:

“Coordinated entry is a key step in assessing the needs of homeless individuals and families and prioritizing them for assistance. In addition to engaging people who are seeking assistance, Coordinated Entry processes should be integrated with communities’ outreach work to ensure that people living in unsheltered locations are prioritized for help. Coordinated Entry should achieve several goals:

- make it easier for persons experiencing homelessness or a housing crisis to access the appropriate housing and service interventions;
- prioritize persons with the longest histories of homelessness and the most extensive needs;
- lower barriers to entering programs or receiving assistance; and,
- ensure that persons receive assistance and are housed as quickly as possible.³

The definition of Centralized or Coordinated Assessment can be found at 24 CFR 578.3. Provisions at 24 CFR 578.7(a)(8) detail the responsibilities of the CoC with regard to establishing and operating such a system. In addition to the definition, HUD also posted on the HUD Exchange the Coordinated Entry Policy Brief in February 2015 that helps inform local efforts to further develop CoCs’ coordinated entry processes.

- 1. How many households (a household can be a single individual or family) entered your program during the past 12 months? _____**
 - a. How many of the households that you stated in the question above entered your project through the coordinated entry system? _____**
- 2. If any households entered your program during the past 12 months that were not referred through the coordinated entry system, please explain why in the box below.**

³ NOFA for FY 2016 CoC Program Competition, page 7.

VII. Performance Measures

The purpose of the HUD's System Performance Measures is to help communities gauge their progress in preventing and ending homelessness and provide a more complete picture of how well a community is achieving this goal. The performance of each project in the CoC is crucial in the overall performance of the CoC. The source data for performance measures include the APR and HMIS.

1. Bed Utilization for Permanent Supportive Housing (PSH)

A bed utilization rate is equal to the total number of people served on any given day divided by the total number of beds available on that day. Bed utilization rates below 65 percent are usually attributed to the project not entering all their clients into the HMIS or the project was under-utilized. Bed utilization rates above 105 means the project did not capture exit dates for all their clients and/or the project offered overflow beds.

From your most recent APR, complete the table below on the point-in-time count of households served on the last Wednesdays in

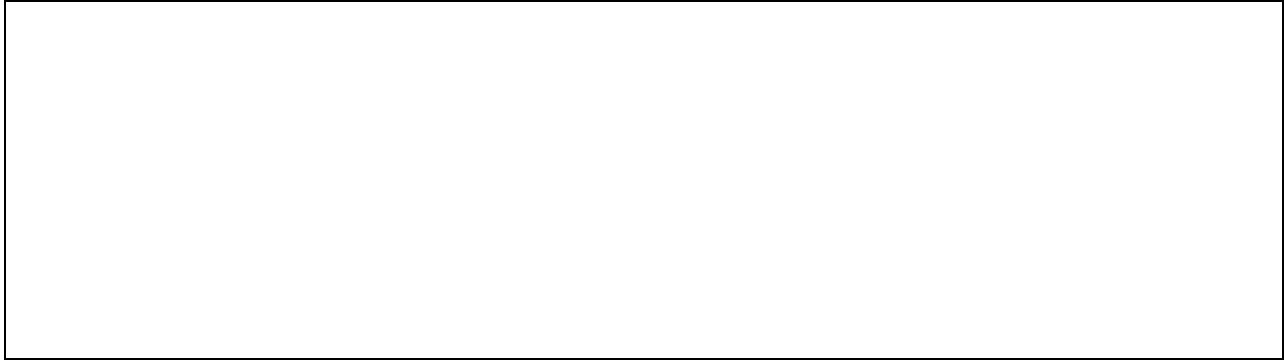
	*Total # of Beds	Total # of Clients Served	Utilization Rate
January			
April			
July			
October			

*The total number of beds should equal the number of beds submitted in your application.

2. Rapid Rehousing (RRH)

Rapid Re-Housing (RRH) provides short or medium term tenant-based rental assistance in community-based housing paired with necessary supportive services for homeless individuals and families (with or without a disability). RRH assistance usually begins prior to the client entering housing. Project performance is measured when client moves into permanent housing. Using data from HMIS during the past 12 months, answer the questions below:

- Proposed number of households: _____
- Total number of households served: _____
- Total number of households moved into permanent housing: _____
- If the total number of households that move into permanent housing is lower than the proposed number of households, please explain why in the box below.



3. Employment and Income Growth

HUD’s System Performance Measure 4 – Change in employment income during the reporting period for program stayers. Meeting this criterion will be based on demonstrating that the percent of homeless adults being served in CoC Program projects increase their earned (i.e., employment) income. From your most recent APR, complete the table below to indicate the number of program stayers and leavers who increased employment or earned income.

	Total # of Adults in Program	# of Adults with increased employment/ earned income	% of adults with increased employment/ earned income
Program Stayers			
Program Leavers			

4. Obtain and Maintain Permanent Housing

HUD’s System Performance Measure 7b: Successful Placement in or Retention of Permanent Housing. Meeting this criterion will be based on demonstrating an increase in the percent of persons served in permanent housing projects who retain permanent housing or exit to permanent housing destinations.

# of Persons in Permanent Housing	# of Persons who remained in permanent housing	# of Persons who exited from permanent housing to permanent housing

**Permanent housing includes:*
Owned by client, no ongoing housing subsidy
Owned by client, with ongoing housing subsidy
Rental by client, no ongoing housing subsidy

Rental by client, with VASH housing subsidy
Rental by client, with GPD TIP housing subsidy
Rental by client, with other ongoing housing subsidy
Staying or living with family, permanent tenure
Staying or living with friends, permanent tenure

VIII. HEARTH Act Compliance

This section of the Letter of Intent (LOI) asks questions of all renewal projects to ensure compliance with the requirements of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH Act): Continuum of Care (CoC) Program Interim Rule. (Please note, this section does not encompass all changes under the HEARTH Act and it is recommended that all projects should review the Act in its entirety).

1. Participation of homeless individuals

The HEARTH Act CoC Program Interim Rule states that the recipient or subrecipient must document its compliance with the homeless participation requirements under § 578.75(g), which is as follows:

(g) Participation of homeless individuals.

(1) Each recipient and subrecipient must provide for the participation of not less than one homeless individual or formerly homeless individual on the board of directors or other equivalent policymaking entity of the recipient or subrecipient, to the extent that such entity considers and makes policies and decisions regarding any project, supportive services, or assistance provided under this part. This requirement is waived if a recipient or subrecipient is unable to meet such requirement and obtains HUD approval for a plan to otherwise consult with homeless or formerly homeless persons when considering and making policies and decisions.

(2) Each recipient and subrecipient of assistance under this part must, to the maximum extent practicable, involve homeless individuals and families through employment; volunteer services; or otherwise in constructing, rehabilitating, maintaining, and operating the project, and in providing supportive services for the project.

a. Does your agency provide for the participation of not less than one homeless individual or formerly homeless individual on the board of directors or other equivalent policymaking entity of the recipient or sub recipient, to the extent that such entity considers and makes policies and decisions regarding any project, supportive services, or assistance provided under this part. This requirement is waived if a recipient or sub recipient is unable to meet such requirement and

obtains HUD approval for a plan to otherwise consult with homeless or formerly homeless persons when considering and making policies and decisions?

Yes

No

If not, please provide an action plan/timeline on when your agency will be compliant with this requirement in the box below.

b. Does your agency, to the maximum extent practicable, involve homeless individuals and families through employment; volunteer services; or otherwise in constructing, rehabilitating, maintaining, and operating the project, and in providing supportive services for the project?

Yes

No

If not, please provide an action plan/timeline as to when your agency will be compliant with this requirement in the box below.

2. Faith-based activities

The HEARTH Act CoC Program Interim Rule states that the recipient or subrecipient must document its compliance with faith-based activities requirements under § 578.87(b), which is as follows:

(b) Faith-based activities.

(1) Equal treatment of program participants and program beneficiaries.

(i) Program participants. Organizations that are religious or faith-based are eligible, on the same basis as any other organization, to participate in the Continuum of Care program. Neither the Federal Government nor a State or local government receiving funds under the Continuum of Care program shall discriminate against an organization on the basis of the organization's religious character or affiliation. Recipients and subrecipients of program funds shall not, in providing program assistance, discriminate against a program participant or prospective program participant on the basis of religion or religious belief.

(ii) Beneficiaries. In providing services supported in whole or in part with federal financial assistance, and in their outreach activities related to such services, program participants shall not discriminate against current or prospective program beneficiaries on the basis of religion, a religious belief, a refusal to hold a religious belief, or a refusal to attend or participate in a religious practice.

(2) Separation of explicitly religious activities. Recipients and subrecipients of Continuum of Care funds that engage in explicitly religious activities, including activities that involve overt religious content such as worship, religious instruction, or proselytization, must perform such activities and offer such services outside of programs that are supported with federal financial assistance separately, in time or location, from the programs or services funded under this part, and participation in any such explicitly religious activities must be voluntary for the program beneficiaries of the HUD-funded programs or services.

(3) Religious identity. A faith-based organization that is a recipient or subrecipient of Continuum of Care program funds is eligible to use such funds as provided under the regulations of this part without impairing its independence, autonomy, expression of religious beliefs, or religious character. Such organization will retain its independence from federal, State, and local government, and may continue to carry out its mission, including the definition, development, practice, and expression of its religious beliefs, provided that it does not use direct program funds to support or engage in any explicitly religious activities, including activities that involve overt religious content, such as worship, religious instruction, or proselytization, or any manner prohibited by

law. Among other things, faith-based organizations may use space in their facilities to provide program-funded services, without removing or altering religious art, icons, scriptures, or other religious symbols. In addition, a Continuum of Care program-funded religious organization retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents.

- a. Does your proposed renewal program use direct program funds to support or engage in any explicitly religious activities, including activities that involve overt religious content, such as worship, religious instruction, or proselytization, or any manner prohibited by law?**

- Yes
 No

3. Involuntary family separation

The HEARTH Act CoC Program Interim Rule states that the recipient or subrecipient must document its compliance with involuntary family separation requirements under § 578.93(e), which is as follows:

(e) Prohibition against involuntary family separation. The age and gender of a child under age 18 must not be used as a basis for denying any family's admission to a project that receives funds under this part.

- a. Does the project accept all families with children under age 18 without regard to the age of any child? In general, under the HEARTH Act, any project sponsor receiving funds to provide emergency shelter, transitional housing, or permanent housing to families with children under age 18.**

Note there is an exception outlined in the Act: Project sponsors of transitional housing receiving funds may target transitional housing resources to families with children of a specific age only if the project sponsor: (1) operates a transitional housing program that has a primary purpose of implementing evidence based practice that requires that housing units be targeted to families with children in a specific age group; and (2) provides assurances, as the Secretary shall require, that an equivalent appropriate alternative living arrangement for the whole family or household unit has been secured.

Yes. Project certifies that it accepts all families with children under age 18 without regard to the age of any child.

No. Project does not comply with this requirement. A narrative is attached explaining how the project will comply with this HEARTH Act requirement.

No. Project does not comply with this requirement but qualifies for an exception because it is implementing an evidence based practice that requires housing units targeted to families with children in a specific age group. A narrative is attached explaining how the project will comply with the exception, including identification of the evidenced based practice being utilized.

N/A. Project does not serve families.

N/A. Project is new and has not started yet.

4. Discrimination Policy

Federal and California State laws note that discrimination can be based on race, color, national origin or gender. Discrimination can also be based on age, religion, disability, familial status or sexual orientation.

Does your program deny services to potential recipients based on any of the following?

- a. Age Yes No
- b. Color Yes No
- c. Disability Yes No
- d. Familial Status Yes No
- e. Gender Yes No
- f. Marital Status Yes No
- g. National Origin Yes No
- h. Race Yes No
- i. Religion Yes No
- j. Sexual Orientation Yes No

If you answered "yes" to any of the above, please explain why in the box below.

5. Active participation in local Continuum of Care meetings

HUD states that a successful CoC will have involvement from a variety of organizations representing the public and private sectors, as well as interested individuals within the CoC jurisdiction(s). These organizations should have an active role in the CoC.

- a. Describe what local Continuum of Care committees, subcommittees, and/or working groups that your agency participates in on a regular basis in the box below. Please include the names and titles of those participating as well as their level of involvement/participation.**

6. Housing Quality Standards (HQS)

The HEARTH Act CoC Program Interim Rule states that the recipient or subrecipient must document its compliance with housing quality standards requirements under § 578.75(b), which is as follows:

(b) Housing quality standards. Housing leased with Continuum of Care program funds, or for which rental assistance payments are made with Continuum of Care program funds, must meet the applicable housing quality standards (HQS) under 24 CFR 982.401 of this title, except that 24 CFR 982.401(j) applies only to housing occupied by program participants receiving tenant-based rental assistance. For housing rehabilitated with funds under this part, the lead-based paint requirements in 24 CFR part 35, subparts A, B, J, and R apply. For housing that receives project-based or sponsor-based rental assistance, 24 CFR part 35, subparts A, B, H, and R apply. For residential property for which funds under this part are used for acquisition, leasing, services, or operating costs, 24 CFR part 35, subparts A, B, K, and R apply.

(1) Before any assistance will be provided on behalf of a program participant, the recipient, or subrecipient, must physically inspect each unit to assure that the unit meets HQS. Assistance will not be provided for units that fail to meet HQS, unless the owner corrects any deficiencies within 30 days from the date of the initial inspection and the recipient or subrecipient verifies that all deficiencies have been corrected.

(2) Recipients or subrecipients must inspect all units at least annually during the grant period to ensure that the units continue to meet HQS.

a. Does your project meet applicable Housing Quality Standards?

- Yes
- No
- This is a new project and has not started yet

Please briefly explain your inspection process for HQS in the box below.

b. Has your project received an HQS corrective action plan in the last 2 years:

- Yes
- No
- This is a new project and has not started yet

If you selected Yes, explain the nature of the concerns/issues and how it was resolved in the box below.

IX. Cost Effectiveness

HUD stated on page 8 of the 2016 CoC Program Application that “CoCs should consider how much each project spends to serve and house an individual or family as compared to other projects serving similar populations.”

HUD states that the project must be cost-effective and not deviate substantially from the norm in that locale for the type of activity including case management and other supportive services.

1. Is your project cost-effective concerning case management and other supportive services?

- Yes
- No
- This is a new project and has not started yet
- Not applicable to this project

If you answered “yes” or “no” please explain your response in the box below.

X. Miscellaneous Information

1. Match requirement

Match must equal 25 percent of the total grant request including Admin costs but excluding leasing costs (i.e., any funds identified for Leased Units and Leased Structures). Match must be met on an annual basis. HUD requires match letters to be submitted with the e-snaps application. Match contributions can be cash, in-kind, or a combination of the two; and, match must be used for an eligible cost as set forth in Subpart D of CoC Program interim rule. For an in-kind match, the recipient may use the value of property, equipment, goods, or services contributed to the project, provided that, if the recipient or sub recipient had to pay for such items with grant funds, the costs would have been eligible. If third party services are to be used as match, the third party service provider that will deliver the services must enter into a memorandum of understanding (MOU) before the grant is executed documenting that the third part will provide such services and value towards the project.

- k. Will your agency be able to provide the match requirement for your renewal project (including a commitment letter or MOU)?

- Yes
 No

2. Exit Surveys

HUD encourages client surveys, particularly exit surveys.

- l. Does your renewal program conduct exit surveys or interviews with clients?

- Yes
 No
 This is a new project and has not started yet
 Not applicable to this project

If no, please explain why in the box below.

VI. § 578.95 Conflicts of Interest

Please read and initial to signify understanding and compliance.

(a) Procurement. For the procurement of property (goods, supplies, or equipment) and services, the recipient and its sub recipients must comply with the codes of conduct and conflict-of-interest requirements under 2 CFR Part 200. **Initial** _____

(b) Continuum of Care board members. No Continuum of Care board member may participate in or influence discussions or resulting decisions concerning the award of a grant or other financial benefits to the organization that the member represents. **Initial** _____

(c) Organizational conflict. An organizational conflict of interest arises when, because of activities or relationships with other persons or organizations, the recipient or sub recipient is unable or potentially unable to render impartial assistance in the provision of any type or amount of assistance under this part, or when a covered person's, as in paragraph (d)(1) of this section, objectivity in performing work with respect to any activity assisted under this part is or might be otherwise impaired. Such an organizational conflict would arise when a board member of an applicant participates in decision of the applicant concerning the award of a grant, or provision of other financial benefits, to the organization that such member represents. It would also arise when an employee of a recipient or sub recipient participates in making rent reasonableness determinations under § 578.49(b)(2) and § 578.51(g) and housing quality inspections of property under § 578.75(b) that the recipient, sub recipient, or related entity owns. **Initial** _____

(d) Other conflicts. For all other transactions and activities, the following restrictions apply:

(1) No covered person, meaning a person who is an employee, agent, consultant, officer, or elected or appointed official of the recipient or its sub recipients and who exercises or has exercised any functions or responsibilities with respect to activities assisted under this part, or who is in a position to participate in a decision-making process or gain inside information with regard to activities assisted under this part, may obtain a financial interest or benefit from an assisted activity, have a financial interest in any contract, subcontract, or agreement with respect to an assisted activity, or have a financial interest in the proceeds derived from an assisted activity, either for him or herself or for those with whom he or she has immediate family or business ties, during his or her tenure or during the one-year period following his or her tenure. **Initial** _____

2017 HUD- CoC Program Competition SCORECARD
Renewal Permanent Housing Projects
Permanent Supportive Housing (PSH) and Rapid Rehousing (RRH)

PROJECT NAME: _____ **PROJECT TYPE:** PSH _____ RRH _____

Scoring Criteria	Description	Data Source	Possible Score	Project Score	Reviewer's Comments	Agency Comment/Explanation
Performance Measures (15)						
1. Housing Stability (Obtain and maintain permanent housing)	PSH - Measures the percentage of project participants who remain housed or move on to other permanent housing.	APR Q27-29	80% or above = 5 70% - 79% = 4 60% - 69% = 3 50% - 59% = 2 40% - 49% = 1 Below 40% = 0	5		
	RRH – Measures the number of clients that move into permanent housing.	HMIS Move-in Date	100% of clients moved to PH = 5 90% moved to PH = 4 80 % moved to PH =3 70 % moved to PH =2 60% moved to PH = 1 50% and below = 0	5		
2. Employment and Income Growth (20%)	Measures the percentage of adult participants who maintain or increase their income level through employment/earned income over the program year.	APR Q24b3	20% or higher = 5 15% - 19% = 4 10% - 14% = 3 5% - 9% = 2 1% - 4% = 1 No increase = 0	5		
3. Bed Utilization PH (80%)	Measures occupancy rates beds/units supported by the project.	APR Q8-11	80% or above = 5 70% - 79% = 4 60% - 69% = 3 50% - 59% = 2 40% - 49% = 1 Below 40% = 0	5		

Scoring Criteria	Description	Data Source	Possible Score	Project Score	Reviewer's Comments	Agency Comment/Explanation
Access to Mainstream Benefits and Supportive Services for Participants (5)						
4. Transportation Assistance	Measures whether the project provides regular or as needed transportation assistance to mainstream and community resources, including appointments, employment training, educational programs, or jobs.	Monitoring Report	Project provides transportation assistance = 1 Project does not provide transportation assistance = 0	1		
5. Referrals to Mainstream Programs	Measures whether the project uses a single application form that allows program participants to sign up for four or more mainstream programs.	Monitoring Report	Project uses a single application form for mainstream benefits = 1 Projects do not use a single application for mainstream benefits = 0	1		
6. Conducts regular follow-up with participants	Measures whether the project regularly follows-up with program participants to ensure that they have applied for, are receiving their mainstream benefits, and renew benefits when required: <ul style="list-style-type: none"> • PSH – at least annually • RRH – at least monthly 	Monitoring Report	Project provides case management at least annually (PSH)/monthly (RRH) = 1 Project does not provide case management at least annually/monthly = 0	1		
7. SSI/SSDI Technical Assistance	Measures whether program participants have access to SSI/SSDI technical assistance. The assistance can be provided by the applicant, a sub-recipient, or a partner agency through a formal or informal relationship.	Monitoring Report Client Individual Service Plan HMIS annual assessment	Project provides program participants' access to SSI/SSDI technical assistance = 1 Project does not provide program participants' access to SSI/SSDI technical assistance = 0	1		
8. Staff SOAR Training last 24 months	Measures whether the project indicates that the applicant, sub-recipient or partner agency staff person who will be providing the SSI/SSDI technical assistance has completed SOAR training, online or in person, in the past 24 months.	SOAR Training attendance or sign-in sheet	Staff has attended a SOAR training in the last 24 months = 1 Staff has not attended a SOAR training in the last 24 months = 0	1		

Scoring Criteria	Description	Data Source	Possible Score	Project Score	Reviewer's Comments	Agency Comment/Explanation
Homeless Management Information System (HMIS) (10 points)						
9. Data Quality	Measures the timeliness, accuracy and completeness of HUD-required universal data elements and program specific data elements:					
a. Timeliness	Client entry and exit must be recorded within 14 days.	HMIS Report Card	14 days = 4 More than 14 days = 0	4		
b. Accuracy	Information entered in HMIS must be valid and accurately represent client information	Monitoring Report	100% accurate = 3 Less than 100% = 0	3		
c. Completeness	Collect all required Universal Data Elements and Program Specific Data Elements	HMIS Missing Data Report	5% or less = 3 6% -10% = 1 More than 10% = 0	3		
Compliance with Program and Financial Management (30 points)						
Program Compliance						
10. Annual Performance Reports (APR)	Measures whether projects submit their APR for review to DPSS within 60 days after the project ends.	Monitoring Report	Project submitted APR for review to DPSS within 60 days after project ends = 5 Project submitted their APR after 60 days the project ends = 0	5		
11. Monitoring Reports	Measures whether the project has had any findings during the 12-month period based on the most recent monitoring report by the Collaborative Applicant (DPSS).	Monitoring Report	No Finding = 5 With Finding/s = 0	5		
Fiscal Compliance						
12. Monthly Submission of Claims	Measures whether the project submits claims on a monthly basis as required. Evaluated during most recent 12-month grant period.	MRU Monitoring Report and Monthly Claims Report	No claims late = 5 1 claim late = 4 2 claims late = 3 3 claims late = 2 4 claims late = 1 5 or more claims late = 0	5		

Scoring Criteria	Description	Data Source	Possible Score	Project Score	Reviewer's Comments	Agency Comment/Explanation
13. Recaptured Funds (unspent funds)	Measures whether the project returned any funds in the last 3 years (completed). Based on percentage of total project budget. Note: Any unspent funds are subject to being reallocated regardless of amount or score.	HUD Closeouts Report	5% or less = 5 6%-10% = 4 11%-20% = 3 21% - 30% = 2 30% - 49% = 1 50% or more = 0	5		
14. Cost-effective Case management and other supportive services	Measures percentage of budget costs in case management and other supportive services. The CoC does not have written standards on this, so cost effectiveness will be based on an average of the total cost per bed for all projects in that component. The Review Panel and BOG will factor in projects that serve high barrier clients with increased cost for supportive services.	Fiscal Report	No more than 10% higher average cost (per bed) = 5 No more than 15% higher average cost (per bed) = 4 No more than 20% higher average cost (per bed) = 3 No more than 25% higher average cost (per bed) = 2 No more than 30% higher average cost (per bed) = 1 Higher than 30% of average cost (per bed) = 0	5	Note: HUD provides guidance and recommendations that supportive services/case management costs for PSH and RRH projects should not be higher than about 25% of the total project budget.	
15. Match Requirement	Measures the percentage of match requirement (25%) as part of the overall project budget and documentation.	Fiscal Report	Meets 25% match Requirements = 5 Does not meet = 0	5		

HUD-CoC Priorities and Requirements (20 points)

Housing First and Low Barrier Approach

16. Quickly move participants into permanent housing	Measures whether project will move program participants into permanent housing within 30 days of first assessment.	Letter of Intent CoC application Agency Policies and Procedures	Project moves participants into permanent housing within 30 days = 5 Project does not move participants into permanent housing within 30 days = 0	5		
17. Housing First: rapid placement and no preconditions for service	Measures that project's entry process that prioritizes rapid placement and stabilization in PH that <u>does not</u> have service participation requirements or preconditions (such income, sobriety, criminal history). Projects should remove the following reasons for termination: failure to participate in supportive services; failure to make progress on a service plan; loss of income; or failure to improve income.	Letter of Intent CoC Application Agency Policies and Procedures	Project is doing Housing First based on HUD requirements and submitted supporting documentation = 5 Project is doing Housing First based on HUD requirements but did not submit supporting documentation = 3 Project is not doing Housing First = 0	5		

Scoring Criteria	Description	Data Source	Possible Score	Project Score	Reviewer's Comments	Agency Comment/Explanation
18. Participation in Coordinated Entry System (CES)	Measures whether the project serves households referred by the Coordinated Entry System (CES).	Letter of Intent	90% and above = 5 70% and above = 4 50% and above = 3 30% and above = 2 20% and above = 1 Below 20% = 0	5		
19. Outreach from Eligible Locations	Measures whether the project provides outreach to participants from eligible locations, such as persons coming from the streets or emergency shelters, to provide PSH for chronically homeless.	Monitoring Report HMIS client intake	All Clients = 5 1 client from ineligible location = 4 2 Clients = 3 3 Clients = 2 4 Clients = 1 5 Clients = 0	5		
HEARTH Act Compliance (20 points)						
20. Participation of homeless in decision-making	Measures whether the agency provides for the participation of not less than one homeless individual or formerly homeless individual on the board of directors or other equivalent policymaking entity of the recipient or sub-recipient, to the extent that such entity considers and makes policies and decisions regarding any project, supportive services, etc.	Letter of Intent	Yes = 1 No = 0	1		
21. Participation of homeless through employment or volunteerism	Measures whether the agency, to the maximum extent practicable, involves homeless individuals and families through employment; volunteer services; or otherwise in constructing, rehabilitating, maintaining, and operating the project, and in providing supportive services for the project.	Letter of Intent	Yes = 1 No = 0	1		
22. Engagement in religious activities	Measures whether the agency uses direct program funds to support or engage in any explicitly religious activities , including activities that involve overt religious content, such as worship, religious instruction, or proselytization, or any manner prohibited by law.	Letter of Intent	Agency does not use CoC program funds for religious activities = 1 Agency uses CoC program funds for religious activities = 0	1		
23. Involuntary family separation	Measures whether the project accepts all families with children under age 18 without regard to the age of any child.	Letter of Intent	Project does not separate families = 1 Project does separate families = 0	1		

Scoring Criteria	Description	Data Source	Possible Score	Project Score	Reviewer's Comments	Agency Comment/Explanation
24. Discrimination Policy	Measures whether the project does not discriminate for project entry.	Letter of Intent	Project does not discriminate against age, color, disability etc. = 1 Project does discriminate against age, color, disability etc. = 0	1		
25. CoC Participation	Measures whether the agency participates as a CoC member in good standing. As required in the Board of Governance Charter for the Continuum of Care, each agency must have a designated representative who is required to attend fifty percent plus one (50% + 1) CoC meetings per year.	CoC attendance records	Agency/Project has a designated representative attend at least 50% + 1 meeting per year = 5 points Agency/Project does not have a designated representative attend at least 50% + 1 meetings per year = 0 points	5		
Housing Quality Standards (HQS)						
26. HQS Compliance	Measures whether the project meets applicable housing standards.	Monitoring Report Copy of HQS	Project meets HQS standards = 5 Project does not meet HQS standards = 0	5		
27. HQS Corrective Action Plan	Measures whether project received a corrective action plan in the last two years.	Monitoring Report	No corrective action plan = 5 Received corrective action plan = 0	5		

Total Possible Score: 100

Total Project Score: _____